## **Community Integrity Building (CIB)**

## **Training Multi-stakeholder Groups**



'International Conference on Integrity Teaching Methodologies' Friday, 13 December 2013

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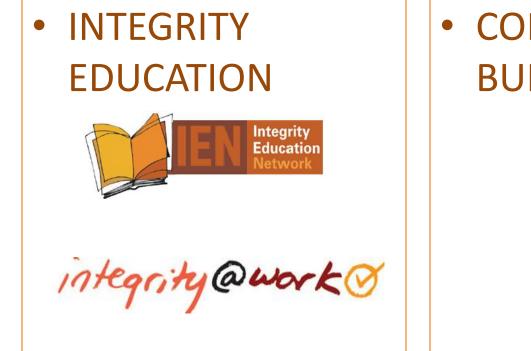
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## **INTEGRITY ACTION**

## London-based NGO

Africa \* Arab World \* China \* Europe & Central Asia \* Indonesia

www.integrityaction.org



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# Integrity: I = a (A C E ) – Corr

# Integrity is the alignment of Accountability / Competence / Ethics without Corruption

('without corruption' focuses on the implementation of corruption control mechanisms)



# **Community Integrity Building (CIB)**

- External Accountability & Transparency Tool
- Builds Trust between Government & Citizens
- Uses an Integrity Approach
  - Not focused on 'Naming and Shaming'
  - Gives opportunity to correct substandard work
  - Gives opportunity to return embezzled funds
- Millions of dollars returned to the projects
- Hundreds of substandard projects were corrected



# The resolution of a problem to the satisfaction of the main stakeholders

Focus on outputs and outcomes more than inputs

Has a pro-poor perspective

### INTEGRITY **ACTION** JWGs identify solutions to integrity problems Public Service Integrity Charters from JWG A Constructive Engagement **Results Communicated: Integrity Helpdesk** both problems resolved and good 5 Closing the Loop practices identified (through public Public voice: Community radio, social media, SMS, public hearings sharing, film, reports) JWGs implement solutions to integrity problems <sup>3</sup> Evidence Base **Community monitoring: CLOSE THE LOOP!!** DevelopmentCheck Focus groups Community score cards 1 Context Social Research Scoping study: stakeholder mapping, 2 Joint Learning local priorities, needs, Integrity Education: Joint training of potential spoilers teachers, university professors, community monitors, public officials Integrity Tools: training manuals, schools DVD, ACE analysis, animations, teacher training Joint Working Groups (JWG) formed/supported Accountability Competence Ethics

## Integrity Action's Community Integrity Building Approach

# **The Fix-Rate:**

The percentage of identified problems that are resolved

# **Closing the Loop:**

Providing feedback to stakeholders that helps them adjust their next steps for a final fix

## Examples of Community Integrity Building (CIB) Projects

- Major Public Infrastructure Projects
  - Road Construction
  - School or Health Centre Construction
  - Electricity Grids
- Delivery of Public Services
  - Social Protection
  - Health Services
  - Education Services
  - Water and Waste Disposal







## **Joint Learning**

- Training local trainers
- Adapt our tools to their context
- Committed individuals with strong leadership are asked to form Joint Working Groups
- Local trainers train community members and government officials together
- Simulations, real-life situations, case studies
- Sometimes project implementers join at this stage
- Analysing through an Integrity Lens

I = a (A, C, E) - Corruption



## **Joint Working Group**



- Brings together different stakeholders

   local authorities, contractors, CSO and community members, such as elders, youth, monitors
- To review findings and develop practical solutions to resolve identified problems

# **Training Change**

- Originally trained in 2 stages:
  - separately, then together

- Changed this, to train together
  - better for building trust, understanding
  - more constructive behaviour among the trained
  - he process & citizen pressure often help government make change

## Training of Citizens and Public Officials

## **Capacity building activities:**

- Local councils' capacity: human resources, internal systems and operations
- Local society: "Integrity committees for better services", in data collection and analysis as well in advocacy, lobbying, and conducting public hearings.

## **Enhancing the integrity system:**

- Improving local councils' political will to accept citizens' accountability through change makers.
  - **1** complaint systems
  - 2 collaborative problem solving
  - 3 internal monitoring
- Enhancing the integrity and transparency values and principles in the local councils' work.
- Code Of Ethics

## **Advocacy and awareness raising:**

- Preparing and publishing analytical reports, opinion polls...
- Advocacy campaigns



# **Community Monitoring**

• Constructive engagement

INTEGRIT

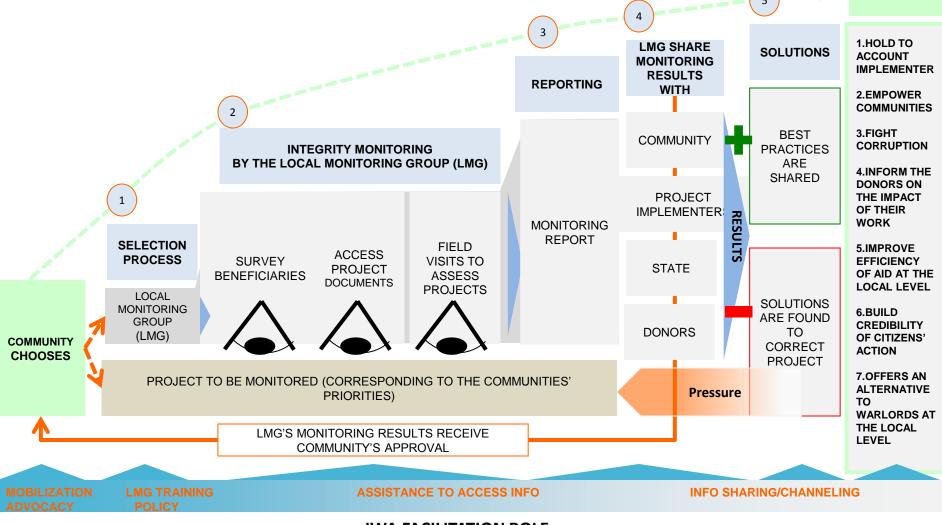
ACTION

- Generate an evidence base to engage government, service providers/contractors, development agencies
- Variety of tools citizen report cards, surveys, focus groups, public hearings, facebook, twitter, phone call-ins to community radio/TV, and...

soon an APP for your phone!

## **Community-based monitoring process**

IMPACT



IWA FACILITATION ROLE

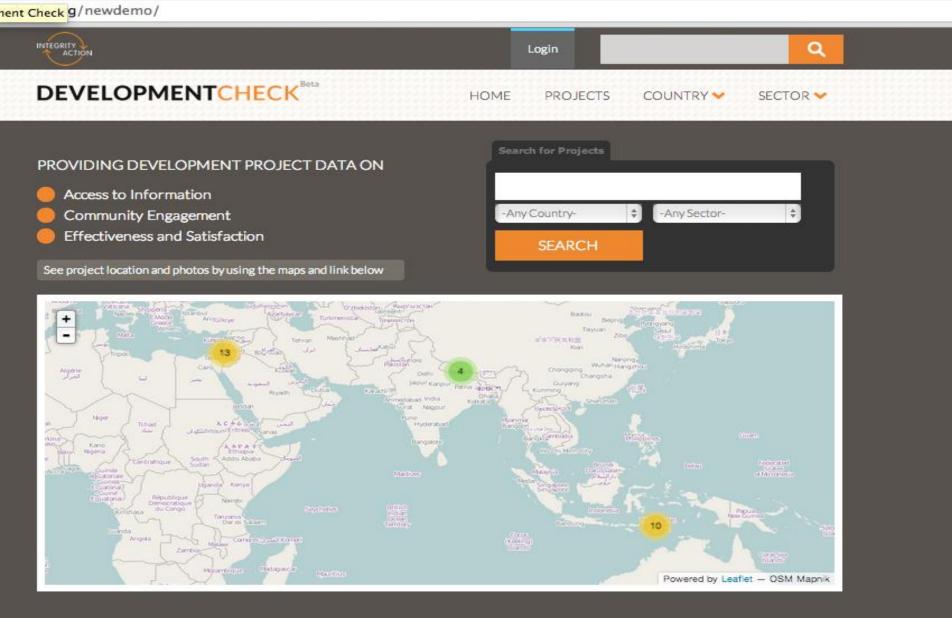


## **DevelopmentCheck**

- Pioneering citizen feedback mechanism
- Gives communities voice on

transparency, participation and effectiveness of government and aid projects and services

- International Aid Transparency Initiative Compliant
- Helps to Close the Loop on CIB
- Improves Aid Effectiveness

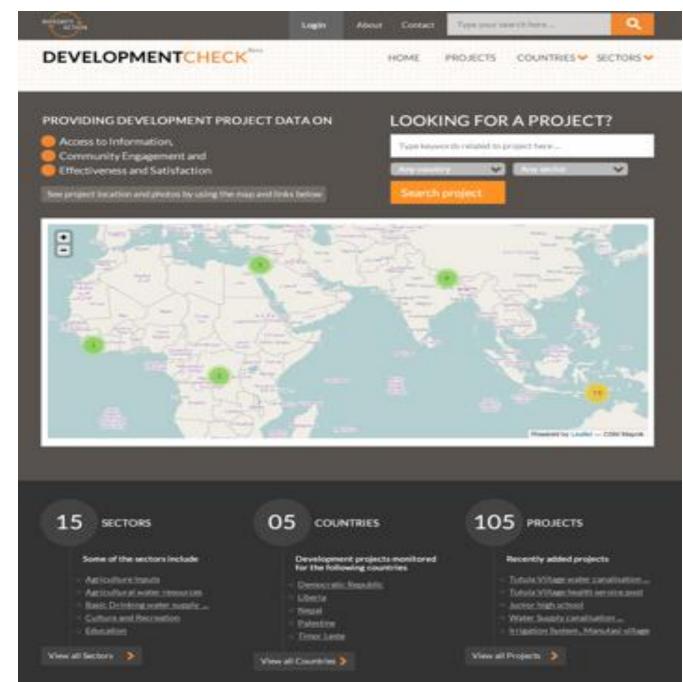


16 SECTORS

COUNTRIES

9

27 PROJECTS



INTEGRITY ACTION

### DEVELOPMENTCHECK

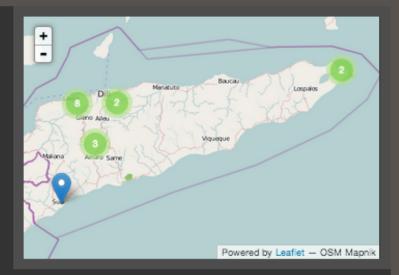
### Timor-Leste



The Democratic Republic of Timor Leste is located in Southeast Asia, with an area of 14,874 sq km, and a population of 1,172,390.

The country is governed by a parliamentary representative democratic republic, and a multi party system. There is a separation of powers between the executive. Legislature and judiciary. Timor Leste is rated as "partly free" by Freedom House. The country is ranked 134 by the UN Human Development Index, and 42 in the Economist Intelligence (EIU) Democracy Index. Literacy stands at 58.6%.

Timor Leste became independent in 2002 following a violent separation from Indonesia. In 2006 UN troops had to intervene in factional fighting and in 2012 the UN relinquished control to the security forces.





16 Projects



### 70 % Fix Rate 🛛

10 out of 16 projects had problems. 7 × out of 10 problems were resolved. To find out more about individual projects click on the links below.





USD 1,592,144 Total Budget of Projects\*\*

### Construction of Primary School Leburema

### Edit Project

Country:	Timor Leste	+
Project Status:	Implementation	-
Project Sector:	Education	
Beneficiaries:	161	
Implementing agency:	Ministry of Education	Dilli Mentuto Barcav
Donor:	Ministry of Education	Como Ales - 2 2 7 - 3 5 2
Govt. department:	Directorate of Education	CA 3 2 Company
Contractor:	Nunu Manak Lau Unipessoal Lda	Contrast Anito Sarie
Budget:	69 000	North Contraction
Project Start Date:	Friday, May 25, 2012	S ~ Y
Project End Date:	Saturday, August 25, 2012	Powered by Leaflet - OSM Mapnik

## ABOUT THE PROJECT

This project was monitored by Luta Hamutuk focal points who found that there was community participation and transparency - project information was available on a signboard at the project site. The only problem they found was a delayed payment to the contractor by the government. Because of this delayed payment the distribution of material was delayed. However, focal points and the contractor managed to resolve the problem through a District Infrastructure Monitoring Committee (DIMC) meeting with a government official. After the meeting the government made an advanced payment to the contractor so materials could be delivered and the project continued. This meant the project was completed on schedule. Luta Hamutuk also found that the contractor went beyond the necessary requirements and bought land to build the school, created a volleyball field and added water pipes so the school had clean water.

This project was monitored by Luta



http://www.lutahamutukinstitute.org/ View all projects from Timor Leste

If you have any questions about this project email info@developmentcheck.org

### INTEGRITY INDICATORS

### DOCUMENTS

### Monitoring Information as of 2012

2012-05-30 ‡

ACCESS TO INFORMATION: How is it calculated ?							
Could the community access the project documents?							
COMMUNITY ENGAGEME site) ated ?							
Was the community consult	S = Not Availab Document	Accessibility	Source	0			
Was the community involve	Feasibility Study	0	Contractor				
	Project Plans	0	Local authorities				
PROJECT EFFECTIVENESS	Contract	0	Contractor				
Problem Identification and	Budget	0	Implementing Agency				
PROBLEM	Bill of Quantity	0	Contractor				
Insufficient materials/equit	ment		<b>v</b>				

### How is it calculated ??

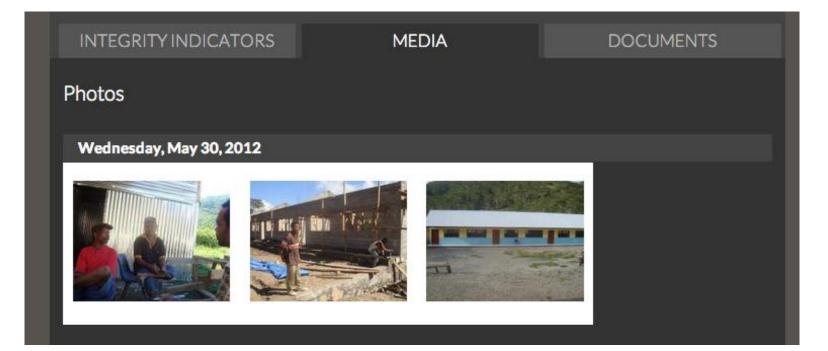
Was the community satis

Community satisfaction is measured through surveys,  $\sim$  interviews or focus groups. Number of unique respondents not available.



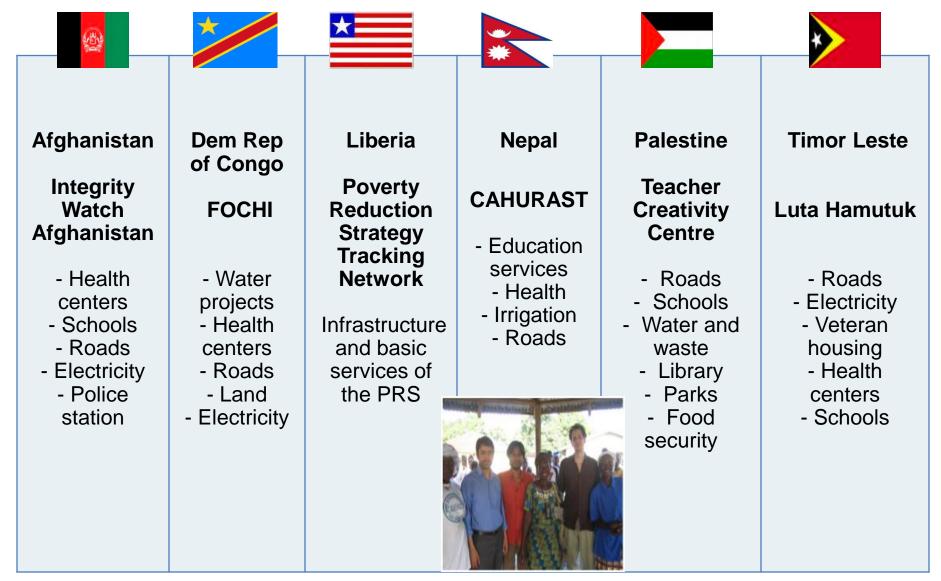
The coloured circles show the monitoring results and community feedback on the project in three areas:

- Access to information whether the communities can access key project information such as budget or plans.
- Community Engagement whether communities are involved in the project design and/or implementation.
- Effectiveness whether the project is effective, complies with established standards and the communities are satisfied.



MEDIA	DOCUMENTS					
Wednesday, May 30, 2012						
Bill Of Quantity.pdf						
	MEDIA					

## **Community-Driven Accountability**



### Integrity in Reconstruction

### Table. Community Integrity Building by Integrity Action (2011-2012) in 6 Countries:

Period: 2011- 2012	AFGHANISTAN	KENYA	KYRGYZSTAN	NEPAL	PALESTINE	TIMOR LESTE	Total
No. of Monitored Infrastructure Projects	281	-	-	8	13 <sup>1</sup>	15	317
% Fix-Rate	83%	-		100%	90%	55%	82%
Value of Monitored Projects in USD (estimate)	\$247m <sup>2</sup>	-	-	\$26k	\$6.08m	\$2.27m	ca. \$255m
No. of Monitored							
Public Services	-	16	12	-	8	-	36
% Fix-Rate	-	25%	33%	-	25%	-	28%
Total no. of Monitors <sup>3</sup>	845	393	494	57	603 <sup>4</sup>	181	2,573

Notes: 1) figures for 2010 only; 2) budget information was available for 251 projects, contracts could not be obtained for 30 projects; 3) monitors include public officials who are part of Community Integrity Building Joint Working Groups; (4) more than half the monitors in Palestine are school students.

## **Theory of Change**

### Short term change

### Context Sensitivity, Joint Learning and Evidence Base

CSOs and community monitors are able to identify integrity challenges from communities and collect data about them.

Capacity of CSO, state actors and service providers built to monitor and report on local integrity challenges and delivery standards (using data collection tools such as Citizen Report Cards).

CSOs & service providers' capabilities to design joint action plans and solve problems is increased.

### **Constructive Engagement**

State actors, CSOs and service providers engage in local joint working groups to review the evidence base

Joint working group members develop practical solutions to identified problems

Findings and solutions communicated through the media

### Long term change

EFFECTIVE LOCAL INSTITUTIONS Empowered and responsible CSOs Capable and responsive state actors and service providers

GREATER INTEGRITY in delivery of local public services

### **Closing the Loop**

Feedback mechanisms trigger an informed, appropriate response to resolve an identified problem

Solutions developed by joint working group members are implemented, problems are resolved and good practices are strengthened.

Also means learning from setbacks and failures

### Medium term change

## **Thank You!**

For more information on Community Integrity Building, contact: Ellen Goldberg <u>ellen.goldberg@integrityaction.org</u>

Watch - and share - our new **"Closing the Loop"** video: <u>http://goo.gl/llNkVk</u> or read about how to **"Close the Loop"** here: <u>http://goo.gl/ExerpP</u>